

This brochure supplement provides information about Michael David Carlucci that supplements the PWA Financial, LLC brochure. You should have received a copy of that brochure. Please contact Michael David Carlucci, Managing Member if you did not receive PWA Financial, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael David Carlucci is also available on the SEC's website at www.adviserinfo.sec.gov.

PWA Financial, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Michael David Carlucci

Personal CRD Number: 2665833

Investment Adviser Representative

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UPDATED: 04/06/2021

Item 2: Educational Background and Business Experience

Name: Michael David Carlucci **Born:** 1955

Education Background and Professional Designations:

Education:

BA Political Science, Lycoming College – 1977

Business Background:

01/2013 – Present	Principal/Compliance Officer PWA Financial, LLC
09/2006 – 01/2013	First Vice President – Registered Representative Corestates Capital Advisors, LLC
07/1995 – 09/2006	Vice President Prudential Securities/Wachovia Securities

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Michael David Carlucci is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Michael David Carlucci does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through PWA Financial, LLC.

Item 6: Supervision

As a co-owner/ representative of PWA Financial, LLC, Michael David Carlucci works closely with Kenneth C. Coniglio, and all advice provided to clients is reviewed by either owner prior to implementation. Michael David Carlucci's contact information is on the cover page of this disclosure document. Michael David Carlucci adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.